



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or **Fax** (571)-273-2885

1676.00245

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

21186

7590

09/06/2006

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Zhakalazky M. Carrion	(Depositor's name)
<i>Zhakalazky Carrion</i>	(Signature)
September 29, 2006	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/877,606	06/08/2001	Min Lu	19603/2921 (CRF 24844)	1604

TITLE OF INVENTION: ANTIGEN FOR DEVELOPING NEUTRALIZING ANTIBODIES TO HUMAN IMMUNODEFICIENCY VIRUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	Fee paid on March 7, 2006 \$0 Please apply the previous fee.		\$700	Fee paid on March 7, 2006 Please apply the previous fee.	12/06/2006
EXAMINER		ART UNIT	CLASS-SUBCLASS			
PARKIN, JEFFREY S		1648	424-188100			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1	Schwegman, Lundberg,
2	Woessner & Kluth, P.A.
3	

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

CORNELL RESEARCH FOUNDATION, INC. Ithaca, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 19-0343 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Robin A. Chadwick*

Date

Sep. 27, 2006

Typed or printed name

Robin A. Chadwick

Registration No.

36,477

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Min Lu et al.

Title: ANTIGEN FOR DEVELOPING NEUTRALIZING ANTIBODIES TO HUMAN  
IMMUNODEFICIENCY VIRUS

Docket No.: 1676.002US1

Filed: June 8, 2001

Examiner: Jeffrey S. Parkin

Customer No.: 21186

Serial No.: 09/877,606

Due Date: December 6, 2006

Group Art Unit: 1648

Confirmation No.: 1604

Commissioner for Patents

Attn: MAIL STOP ISSUE FEE

P.O. Box 1450

Alexandria, VA 22313-1450

**Notice of Allowance Date:**

September 6, 2006

We are transmitting herewith the attached:

- ☒ Issue Fee Transmittal (Form PTOL-85).
- ☒ Request to Apply Previously Paid Issue Fee
- ☒ A return postcard.

Please charge any additional required fees for the Issue Fee Payment or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 21186

By Robin A. Chadwick

Robin A. Chadwick

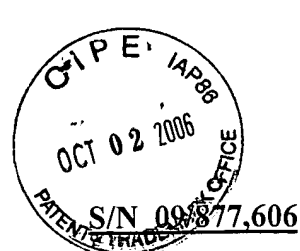
Reg. No. 36,477

RAC:CMG:zmc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Attn – MAIL STOP ISSUE FEE, P.O. Box 1450, Alexandria, VA 22313-1450, on this 29 day of September, 2006.

Zhorabzky M. Carron  
Name

Zhorabzky Carron  
Signature



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Serial No.: 09/877,606

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Title: ANTIGEN FOR DEVELOPING NEUTRALIZING ANTIBODIES TO HUMAN  
IMMUNODEFICIENCY VIRUS

**REQUEST TO APPLY PREVIOUSLY PAID ISSUE FEE**

Commissioner for Patents

ATTN: MAIL STOP ISSUE FEE

P.O. Box 1450

Alexandria, VA 22313-1450

An issue fee for the above-identified application was previously paid on March 7, 2006. Applicant respectfully requests that the previously-paid issue fee be applied towards the fee required by the new Notice of Allowance dated September 6, 2006.

**Please charge any additional required fees for the Issued Fee Payment or credit overpayment to Deposit Account No. 19-0743.**

This request is being submitted with the new Issue Fee Transmittal Form PTOL-85.

Respectfully submitted,

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 373-6965

Date September 28, 2006

By

Robin A. Chadwick

Reg. No. 36,477

RAC:CMG:zmc

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Attn – MAIL STOP ISSUE FEE, P.O.Box 1450, Alexandria, VA 22313-1450, on this 29 day of September, 2006.

Zhakalazky M. Carrion  
Name

Signature